

Practitioner's Docket No. HES 2003-IP-010139U1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Rickey L. Morgan et al.

Application No: 10 / 608,748

Group No.: 3672

Filed: June 27, 2003

Examiner: Walker, Zakiya N.

For: Cement Compositions With Improved Fluid Loss Characteristics and Methods of Cementing In Surface and Subterranean Applications

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c))**

NOTE: 37 C.F.R. 1.97: "(c) An information disclosure statement shall be considered by the Office if filed after the period specified in paragraph (b) of this section, provided that the information disclosure statement is filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by one of:

- (1) The statement specified in paragraph (e) of this section; or
- (2) The fee set forth in § 1.17(p)."

NOTE: "If a final action or notice of allowance is mailed in an application and later withdrawn, the application will be considered as not having had a final action or notice of allowance mailed for purposes of considering an information disclosure statement." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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☒ deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10 *

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. _____ (mandatory)

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) _____

Sheila Gibbs

Signature

Date: 8-20-04

Sheila Gibbs

(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance [6-4]—page 1 of 3)

NOTE: 37 C.F.R. § 1.704(d): "A paper containing only an information disclosure statement in compliance with §§ 1.97 and 1.98 will not be considered a failure to engage in reasonable efforts to conclude prosecution (processing or examination) of the application under paragraphs (c)(6), (c)(8), (c)(9), or (c)(10) of this section if it is accompanied by a statement that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement. This thirty-day period is not extendable."

NOTE: "If information submitted during the period set forth in 37 C.F.R. 1.97(c) with a certification is used in a new ground of rejection on unamended claims, the next Office action will not be made final since in this situation it is clear that applicant has submitted the information to the office promptly after it has become known and the information is being submitted prior to a final determination on patentability by the Office. However, the information submitted with a certification can be used in a new ground of rejection and the next Office action made final, if the new ground of rejection was necessitated by amendment of the application by applicant. Where the information is submitted during this period with a fee, the examiner may use the information submitted, e.g., printed publication or evidence of public use, and make the next Office action final whether or not the claims have been amended, provided that no other new ground of rejection which was not necessitated by amendment to the claims is introduced by the examiner. See MPEP 706.07(a). If a new ground of rejection is introduced that is neither necessitated by an amendment to the claims nor based on the information submitted with the fee set forth in 37 C.F.R. § 1.17(p), the Office action shall not be made final." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

WARNING: No extension of time can be had under 37 C.F.R. 1.136 (a) or (b) for filing an IDS. 37 C.F.R. 1.97(f).

TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed **after** three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but **before** the mailing date of either:
 - (1) a final action under § 1.113 or
 - (2) a notice of allowance under § 1.311,whichever occurs first.

STATEMENT OR FEE

2. Accompanying this transmittal is

(check either A or B below)

A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).

OR

B. ☒ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance. [8-4]—page 2 of 3)

FEE PAYMENT

(complete this item, if applicable)

3. Applicant elects the option to pay the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c) (\$180.00).

Fee due \$ 180

METHOD OF PAYMENT OF FEE

4.

- ☐ Attached is a ☐ check ☐ money order in the amount of \$ _____
- ☒ Authorization is hereby made to charge the amount of \$ 180
- ☒ to Deposit Account No. 0840300
- ☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

WARNING: Credit card information should not be included on this form as it may become public.

- ☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Reg. No. 36,256

Tel. No.: (580) 251-3012

Customer No.:


SIGNATURE OF PRACTITIONER

Craig W. Roddy
(type or print name of practitioner)

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P.O. Address

Duncan, OK 73536-0440



PATENT
HES 2003-IP-010139U1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-------------|-------------------------------|---|-----------------------------|
| Applicants: | Rickey L. Morgan et al. |) | |
| | |) | |
| | |) | Art Unit: 3672 |
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| Serial No.: | 10/608,748 |) | |
| | |) | |
| Filed: | June 27, 2003 |) | Examiner: Walker, Zakiya N. |
| | |) | |
| For: | CEMENT COMPOSITIONS WITH |) | |
| | IMPROVED FLUID LOSS |) | |
| | CHARACTERISITICS AND METHDODS |) | |
| | OF CEMENTING IN SURFACE AND |) | |
| | SUBTERRANEAN APPLICATIONS |) | |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR:

The following documents are known to Applicants or Applicants' attorneys and are submitted for the Examiner to consider in the above-captioned application.

U.S. PATENTS

U.S. Patent No. 5,028,271 issued July 2, 1991 to David A. Huddleston et al.
U.S. Patent No. 4,938,803 issued July 3, 1990 to David A. Huddleston et al.
U.S. Patent No. 5,134,215 issued July 28, 1992 to David A. Huddleston et al.
U.S. Patent No. 5,147,964 issued September 15, 1992 to David A. Huddleston et al.
U.S. Patent No. 6,739,806 B1 issued May 25, 2004 to Michael J. Szymanski et al.
U.S. Patent No. 6,767,867 B2 issued July 27, 2004 to Jiten Chatterji et al.
U.S. Patent No. 4,676,317 issued June 30, 1987 to Slaton E. Fry et al.

08/24/2004 RHEBRAHT 00000011 080300 10608748
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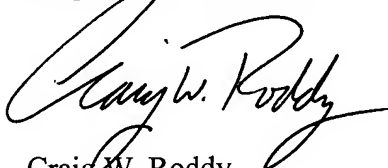
U.S. PATENT APPLICATION PUBLICATIONS

U.S. Patent Application Publication No. US 2003/0008779 A1 published January 9, 2003

to Shih-Ruey T. Chen et al.

Form PTO-1449 is submitted herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Craig W. Roddy", written in a cursive style.

Craig W. Roddy
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Halliburton Energy Services
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Duncan, OK 73536-0440
580-251-3012

Sheet 1 of 1

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|---|--------------------------------------|--------------------------|
| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTY. DOCKET NO. 2003-IP-010139U1 | SERIAL NO. 10/608,748 |
| | APPLICANT Rickey L. Morgan et al. | |
| | FILING DATE June 27, 2003 | GROUP 3672 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | Document No. | Date | Name | Class | Subclass | Filing Date if Appropriate |
|---------------------|----|--------------------|----------|-------------------|-------|----------|----------------------------------|
| | AA | 5,028,271 | 07/02/91 | Huddleston et al. | 106 | 720 | |
| | AB | 4,938,803 | 07/03/90 | Huddleston et al. | 106 | 719 | |
| | AC | 5,134,215 | 07/28/92 | Huddleston et al. | 527 | 400 | |
| | AD | 5,147,964 | 09/15/92 | Huddleston et al. | 527 | 400 | |
| | AE | 6,739,806 B1 | 05/25/04 | Szymanski et al. | 405 | 267 | |
| | AF | 6,767,867 B2 | 07/27/04 | Chatterji et al. | 507 | 216 | |
| | AG | 4,676,317 | 06/30/87 | Fry et al. | 166 | 293 | |
| | AH | US 2003/0008779 | 01/09/03 | Chen et al. | 507 | 200 | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | Document No. | Date | Country | Class | Subclass | Translation | |
|--|----|--------------|------|---------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |
| | AP | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | AR | | |
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| | AT | | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.